PTO/SB/08A (10-01) Approved for use through 10/31/2002. OMB 0651-0031

U.S. Patent and Trademark Office: U.S. DEPARTMENT OF COMMERCE

tion Act of 1995, no persons are required to respond to a collection of information unless it contains a valid OMB control number.

Substitute for form 1449A/PTO Complete if Known Application No. 10/600,137 INFORMATION DISCLOSURE Filing Date June 20, 2003 STATEMENT BY APPLICANT First Named Inventor Kevin Ka-Shun Fung Art Unit 3624 **Examiner Name** Not yet known Attorney Docket Number Sheet 23876-09108

U.S. PATENT DOCUMENTS						
		Document No.	[
Examiner Initials*	Cite No.	Number – Kind Code ² (if known)	Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document		
7A.V./		US-2001/0032175 A1	10-18-2001	Holden et al.		
		US-2001/0034691 A1	10-25-2001	Guarino et al.		
		US-2002/0026401 A1	02-28-2002	Hueler		
		US-2002/0038273 A1	03-28-2002	Wherry et al.		
		US-2002/0095369 A1	07-18-2002	Kaplan et al.		
		US-2002/0156719 A1	10-24-2002	Finebaum et al.		
, ,	-	US-6304858 B1	10-16-2001	Mosler et al.		
V	1	US-6321212 B1	11-20-2001	Lange		

			FOREIGN PA	ATENT DOCUMENTS	
	•	Foreign Patent Document			
Examiner Initials*	Cite No.1	Country Code ³ – Number ⁴ Kind Code ⁵ (if known)	Publication Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document	τ⁰
	1	CN 1315709 A	10 03 2001	Future Circulation Network Co. Ltd.	
/A V /	2	WO 02/056146 A2	07-18-2002	Vertical Crossings.com, Inc.	
1	3	WO 02/13111 A1	02-14-2002	The Debt Exchange, Inc.	
	4	WO 02/37390 A2	05-10-2002	Liquidity Direct	
$\overline{\mathbf{W}}$	5	WO 03/050654 A2	06-19-2003	Bank One	

	•	OTHER REFERENCES – NON-PATENT LITERATURE DOCUMENTS	
Examiner Initials*	Cite No.1	Include name of the author (in CAPITAL LETTERS), title of the article (when appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc.), date, page(s), volume-issue number(s), publisher, city and/or country where published	T ⁴

Examiner Signature	/Abhishek Vyas/	Date Considered	02/07/2008
l			

^{*}EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.

^{&#}x27;Applicant's unique citation designation number (optional). 2See Kinds Codes of USPTO Patent Documents at www.uspto.gov or MPEP 901.04. 3Enter Office that issued the document, by the two-letter code (WIPO Standard ST.3). 4For Japanese patent documents, the indication of the year of the reign of the Emperor must precede the serial number of the patent document. ⁵Kind of document by the appropriate symbols as indicated on the document under WIPO Standard ST. 16 if possible. ⁶Applicant is to place a check mark here if English language Translation is attached.

FORM PTO-1	449 (Modified)	ATTY. DOCKET NO.		1	SERIAL NO. To Be Assigned	
APPLICANT'	ENTS AND PUBLICA S INFORMATION E STATEMENT	2702F		10 De Ass	ighed	
		APPLICANT: FUNG				
(Use several sl	heets if necessary)	FILING DATE: Herewith		GROUP: To Be Ass	GROUP: To Be Assigned	
EFERENCE I	DESIGNATION	U.S. PATE	NT DOCUMEN	TS		
EXAMINER INITIALS	DOCUMENT NUMBER	DATE	NAME [*]	CLASS	SUBCLASS	FILING DATE (IF APPRO.)
/A.V./	6 3 9 0 4 7 2	05-21-02 V	inarsky			
	US 2002/0198816 A1	12-26-02 G	ilbert et al.		· · · · · · · · · · · · · · · · · · ·	
V	US 2001/0034687 A1	10-25-01 B	ushonville et al.			
				1		
			 		<u> </u>	
· · · · · · · · · · · · · · · · · · ·	F	OREIGN PA	TENT DOCUM	ENTS		
	DOCUMENT NUMBER	DATE	COUNTRY	CLASS	SUBCLASS	Translation
/A.V./	/A.V./ WO 02/46999 A2 06-13-02		PCT - WIPO			YES NO
البغد <u>۔ م</u>	OTHER ART (I	ncluding Aut	·	Pertinent F	Pages, etc.)	
	niup://www.imraidea.on	L 6/11/2002				
	Intp://according.com	-3/ 18/2 003				· · · · · · · · · · · · · · · · · · ·
XAMINER	/Abhishek\	Jvas/	DATE CONS	SIDERED	02/07/	/2008